Individual — AML/CTF Verification Form

*Minimum KYC information per AML/CTF Rules 4.2. Complete all fields marked with *.*

PERSONAL DETAILS

Full legal name *

Any other names used

Date of birth *

Place of birth

Nationality / citizenship

Tax File Number (optional)

Country of tax residence *

Residential address (no PO Box) *

Phone

Email

Occupation *

RELIABLE & INDEPENDENT IDENTIFICATION DOCUMENTS

Provide either (a) one primary photographic ID, OR (b) one primary non-photographic ID + one secondary ID. Documents must be current and, if a copy, certified by an authorised person.

- ☐ Australian passport
- ☐ Foreign passport
- ☐ Driver licence (AU)
- ☐ Proof of Age / Photo ID card
- ☐ Birth certificate
- ☐ Citizenship certificate
- ☐ Centrelink/DVA card
- ☐ Medicare card + utility bill

Document 1 — type & number *

Issuer / country

Expiry

Document 2 — type & number

Issuer / country

Expiry

PEP, SANCTIONS & ENHANCED DUE DILIGENCE SCREENING (AML/CTF RULES 4.13 & CH. 15)

A Politically Exposed Person (PEP) is an individual who holds/has held a prominent public position, or is an immediate family member or close associate of such a person. Enhanced Customer Due Diligence (ECDD) applies to Foreign PEPs and other high-risk customers.

- ☐ Domestic PEP
- ☐ Foreign PEP
- ☐ International Organisation PEP
- ☐ Family member / close associate of a PEP
- ☐ Not a PEP
- ☐ Subject to DFAT / UN / OFAC / EU sanctions
- ☐ Resident/citizen of prescribed foreign country
- ☐ None of the above

If any box ticked, provide details (position held, jurisdiction, dates, relationship):

SOURCE OF FUNDS & SOURCE OF WEALTH (AML/CTF RULES 15.9)

Source of Funds = the origin of the specific funds used in this transaction/relationship. Source of Wealth = the origin of the customer's total accumulated wealth.

Once completed, please email this form to sales@enlivenco.com.au

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Source of Funds *

Source of Wealth *

CLIENT DECLARATION

I declare that the information provided in this form is true, correct and complete. I acknowledge that providing false or misleading information is an offence under s.136 of the AML/CTF Act 2006 (Cth) and may attract criminal penalties. I consent to the reporting entity verifying my identity using reliable and independent sources, including electronic verification and third-party databases, in accordance with the AML/CTF Act and Privacy Act 1988 (Cth). Records will be retained for 7 years pursuant to s.107.

Full name of signatory *

Capacity (self / director / trustee / member) *

Signature *

Date *

REPORTING ENTITY USE ONLY — VERIFICATION RECORD

- ☐ Standard CDD
- ☐ Simplified CDD
- ☐ Enhanced CDD (ECDD)
- ☐ Original document sighted
- ☐ Certified copy
- ☐ Electronic verification
- ☐ Registry/database search
- ☐ Low risk
- ☐ Medium risk
- ☐ High risk

Verifying officer (full name)

Position

Date of verification

Reference / file no.

Verification notes

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